

# The Effects of Phonetic Duration on Loanword Adaptation: Mandarin Falling Diphthong in Chinese Korean

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## ABSTRACT

This study examines how Mandarin falling sonority diphthongs are adapted to a Chinese Korean dialect. It investigates how the subtle phonetic conditions of the source language affect adaptation, and if and how those phonetic effects differ in established loanwords compared to the on-line adaptation of novel loan forms. We found that in this bilingual population, while the Mandarin diphthongs are usually adapted as monophthongs, obeying the native phonological restriction against falling diphthongs, the retention of the input diphthongs in violation of the native constraint is also quite common. Additionally, we found that the choice of the monophthong vs. diphthong realization is strongly affected by the input phonetic duration and in particular, the durational difference among the different tones is robustly reflected in the adaptation patterns.

**Keywords:** phonetic and phonological adaptation, loanwords, Mandarin falling diphthongs, Chinese Korean, tones

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## 1. Introduction

When foreign words are borrowed into a language, they often undergo transformations in order to comply with the phonological constraints of the borrowing language. A relevant example comes from Standard Seoul Korean, which does not have diphthongs with falling sonority. When Mandarin (MA) words with falling diphthongs /ai, au, ei, ou/ are borrowed into Standard Korean (SK), the

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diphthongs are generally adapted as a heterosyllabic vowel sequence as in MA mao2 ze2 dong1 [máu tsó tōŋ], ‘Mao Zedong’<sup>1)</sup> > SK [ma.o.ts\*<sup>Λ</sup>.tuŋ]. The current study examines how these Mandarin diphthongs are adapted in Chinese Korean (CK), the variety of Korean spoken by the ethnic Koreans living in China, where the level of community and individual bilingualism is high.

To anticipate the results of our study, we find that Chinese Korean also adapts the Mandarin diphthong to avoid illicit falling diphthongs, but the repair of choice is monophthongization through vowel coalescence, in which two segments of a Mandarin diphthong coalesce into a monophthong, as in MA zao3 can1 [tsǎu tsʰān] ‘breakfast’ > CK /ts\*o.tsʰan/. That is, the Mandarin diphthong /au/ is replaced with Chinese Korean monophthong /o/. More interestingly, we also observe that the Mandarin diphthongs are frequently retained as diphthongs in Chinese Korean, as in MA tao3 mei2 [tʰǎu méi] ‘bad luck’ > CK [t\*o.méi]. In this study, we examine how bilingual Chinese Korean speakers produce Mandarin loans with original falling diphthongs and how they adapt novel loans.

This study has two goals. The first is to examine the role of input language phonetics in adaptation (e.g., Silverman, 1992; Yip, 1993; Kenstowicz, 2003; Y. Kang, 2003). In particular, we explore how the phonetic duration of Mandarin input vowels affects adaptation. We hypothesize that the longer the duration of the input vowel is, the higher the rate of diphthongal adaptation would be. In other words, if the adaptation is sensitive to the input duration, we expect a higher rate of diphthongal adaptation in phonological contexts where the vowels are longer. To test our hypothesis, we consider a number of phonetic factors such as the Mandarin tone of the input diphthongs (Tone 1 ~ Tone 4), the target syllable position within the word (initial vs. final), and the interaction between the two. Previous studies found that Mandarin tones systematically differ in duration, in such a way that and Tone 3 is the longest and Tone 4 is the shortest (Xu, 1997; Wu & Kenstowicz, 2015). We predict that the longer the tones are, the higher the rate of diphthongal adaptation would be. In terms of the word position, since syllables are lengthened in word-final position in Mandarin (Barnes, 2006; Chen, 2006), we expect that diphthongal adaptations are more likely to appear in the final syllable than in word-initial syllable. Finally, we look at the interaction between tone and word position. We predict that tone effects depend on the word-position because Tone 3 is known to shorten substantially in a non-final position in Mandarin (Xu, 1997;

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1) In this paper, we use the pinyin tone diacritics to indicate tones in the phonetic representation and use the tone numbers in Pinyin instead of the diacritics for readability.

Yip, 2002; Wu & Kenstowicz, 2015).

Second, we compare the adaptation patterns between established loanwords and on-line adaptation of Mandarin words. Investigating on-line adaptations has an advantage in that we can examine the speaker's productive knowledge of cross-language mapping. Established loanwords are reflections of speakers' lexical knowledge, which is, in turn, the result of the accumulation of collective history of adaptation and subsequent revisions at the community level. Thus, they may contain idiosyncrasies and historical remnants of archaic patterns that are no longer productive. On-line adaptation, on the other hand, reveals speakers' productive knowledge regarding the cross-language correspondence in action. This knowledge may draw from the generalizations of the existing lexicon (Simonović, 2017) but may not necessarily be isomorphic to them. In particular, we are interested in whether and how bilingual speakers accurately internalize the effects of subtle phonetic details in established loans and productively extend them to on-line loanword adaptation.

The paper is organized as follows. Section 2 reviews previous studies on loanword phonology. In Section 3, we present the background of the minority Koreans living in northeast China. This section also gives a brief overview of Mandarin and Chinese Korean phonology. Section 4 shows the methods and results of this study, which explores how bilingual Chinese Korean speakers produce established Mandarin loanwords, which are frequently used in Chinese Korean, and also how they adapt novel loanwords in an on-line adaptation task. Section 5 discusses several issues that arise from the present analysis. Section 6 concludes the paper.

## 2. Previous Studies of Loanword Phonology

There are two different views on the role of input language phonetics in loanword phonology: the phonological stance model (Paradis & LaCharité, 1997; LaCharité & Paradis, 2005; Paradis & Tremblay, 2009) and the perceptual stance models (Silverman, 1992; Yip, 1993; Kenstowicz, 2003; Peperkamp & Dupoux, 2003; Y. Kang, 2003; Boersma & Hamann, 2009).

Paradis & LaCharité (1997) proposed that loanword adaptation is inherently phonological, and borrowers are bilinguals who have access to the phonology of both the source and the borrowing languages. Based on 12 large corpora of English and French loanwords in several different languages, they argue that a phonological

transformation, rather than phonetic approximation, is the principal mechanism of loanword adaptation. For instance, when English loanwords are adapted into French, English /b/ is preserved as /b/, despite the phonetic differences that make it acoustically closer to French /p/, because in both languages, /b/ is phonologically represented with the same feature combination, that of a voiced labial stop. In other words, if a given L2 phonological category (i.e., feature combination) does not exist in L1, this L2 category will be replaced by the closest phonological category in L1, even if the L1 inventory contains an acoustically closer alternative (Paradis & LaCharité, 1997; LaCharité & Paradis, 2005).

According to the perceptual models of adaptation, on the other hand, loanword adaptation is sensitive to the subphonemic phonetic information of the input structure (Silverman, 1992; Kenstowicz, 2003; Peperkamp & Dupoux, 2003; Boersma & Hamann, 2009). While differing in the specific mechanisms by which the phonetic information affects the adaptation, they agree that non-contrastive phonetic details of the input and the borrowing language may affect the adaptation. For instance, Y. Kang (2003) examined vowel insertion patterns following English postvocalic word-final stops in English loanwords in Korean. Korean stops are obligatorily unreleased when they are in word-final position. She observed that word-final stops in English are more frequently released after a tense vowel than after a lax vowel, and that vowel epenthesis is more likely to appear in Korean loanwords when the pre-final vowel in the English source words is tense. For example, the English word *stick* with a lax vowel is adapted as [si.t<sup>h</sup>ik] while the English word *mic(rophone)* with a tense vowel is realized as [ma.i.k<sup>h</sup>i] in Korean. She argued that the motivation for vowel insertion in this position is to increase the perceptual similarity between the English input and the Korean output.

Hsieh et al. (2009)'s investigation of the adaptation of English coda nasal in Mandarin Chinese presents an example where the perceptual similarity, rather than the phonological contrastiveness, determines the adaptation pattern. In Mandarin, the coda nasal place distinction between /n/ and /ŋ/ is contrastive and conditions the allophonic variation between front and low back vowels ([a] vs. [ɑ]). They examined the adaptation of coda nasals preceded by a low vowel in English and found that the choice between [n] and [ŋ] is determined by the front vs. back nature of the preceding vowel in the English source words, rather than the place of articulation of nasal (e.g., clan [klæn] > MA [ke.lan] vs. crown [kɹaʊn] > MA [ke.laŋ]). In the vowel + nasal sequences, the vowel, which is phonetically more salient, has an impact on the realization of adaptation. This is the case despite the

fact that coda nasal place distinction is contrastive in Mandarin while the backness of low vowels is a non-contrastive allophonic distinction in Mandarin. They, therefore, concluded that phonetic salience is a significant factor in loanword adaptation that can outweigh a phonologically contrastive feature of the borrowing language. In this study, we will present the results of Chinese Korean loanword adaptation and examine how the phonetic duration of the Mandarin vowel, which is not contrastive in Mandarin or Korean, affects the adaptation patterns.

### 3. Backgrounds

#### 3.1. Ethnic Korean population in China

Koreans are the thirteenth largest ethnic minority in China, with a total of roughly 1.9 million people. Most live in northeast China, particularly the Yanbian Korean Autonomous Prefecture, with the rest residing in Inner Mongolia and large cities such as Beijing, Shanghai and Qingdao (Jin, 2008). The majority of ethnic Koreans living in China today are descendants of immigrants who migrated from Korea between the mid 19th century and the mid 20th century. The current work focuses on Mandarin loanwords spoken by Chinese Korean bilinguals living in Dandong, a city in the Liaoning Province of China, on the border between China and North Korea. Dandong has a Korean population of around 20,000 (Cui, 2011). The majority of ethnic Koreans in China are bilingual, but the dominant language is shifting from Korean to Mandarin in many communities in China (Choi, 2001; Jin, 2008; Han, 2011, 2014). In particular, Schertz et al. (2017) found that younger speakers in Dandong use Korean less and consider themselves to be less proficient in Korean than in Mandarin, while older speakers are dominant in Korean and have relatively low Mandarin proficiency.

#### 3.2. Chinese Korean vowels

Chinese Korean is a branch of Korean, spoken by ethnic Koreans residing in China. According to Jin (2008), Chinese Korean has a vowel system similar to Standard Korean spoken in Seoul, except that it retains some of the contrasts that are being lost in Standard Korean. The vowel system of Korean has ten monophthongs, as provided in Table 1. In most South Korean dialects of Korean,

the contrasts between the low and mid front vowels ([e] vs. [ɛ]) are lost, and the front rounded vowels are realized as a diphthong ([wi] or [we]) (H. Kang, 1997; Silva & Jin, 2008; Eychenne & Jang, 2015; Yoon et al., 2015). In contrast, Chinese Korean, the variety spoken in Dandong, retains the [e] vs. [ɛ] contrast and the front rounded vowel [y] (Jin, 2008; Kang et al., 2015, 2016).

**Table 1.** Inventory of Korean monophthongs (Adapted from Lee & Ramsey 2011)

	Front unrounded	Front rounded	Back unrounded	Back rounded
High	i	(y)	ɨ	u
Mid	e	(ø)	ʌ	o
Low	(ɛ)		ɑ	

As for diphthongs, there are ten diphthongs in Standard Korean, nine on-glide diphthongs and one off-glide diphthong, as presented in Table 2. Standard Korean has no falling diphthongs, except for /ij/ with a questionable status (H. Kang, 1997; Ahn & Iverson, 2007). This diphthong is a remnant of a system of j-final diphthongs from Late Middle Korean, which subsequently monophthongized to create the front vowel series in Modern Korean, as in ʌj > e, ɔj > ɛ, uɟ > y, and oj > ø (Lee & Ramsey, 2011). The status of off-glide diphthongs in Chinese Korean is less clear, and the archaic diphthongal pronunciation long lost in Standard Korean is reported in some words as in [kaj]~[kɛ] ‘dog’ and [kʌj]~[ke] ‘crab’ (Jin, 2008).

**Table 2.** Diphthongs in Standard Korean (Adapted from Shin et al. 2012: 109)

On-glide diphthongs					Off-glide diphthongs	
-	-	ju	wi	-	-	(ij)
je	jʌ	jo	we	wʌ	-	
(jɛ)	ja		(wɛ)	wa		

### 3.3. Mandarin vowels and tones

According to most analyses (Cheng, 1973; Duanmu, 2007; Lin, 2007), Mandarin has five phonemic vowels /i, y, ə, u, a/, as seen in Table 3. There are four falling sonority diphthongs /ai, ei, au, ou/ in Mandarin. Examples are provided in Table 4.

**Table 3.** Vowel inventory of Mandarin (Lin 2007: 82)

	Front	Front	Central	Back
High	i	y		u
Mid			ə	
Low	a			

**Table 4.** Examples of Mandarin diphthongs (Lin 2007: 68)

	IPA	Pinyin	Gloss		IPA	Pinyin	Gloss
/ai/	[khāi]	kai1	‘to open’	/au/	[kāu]	gao1	‘high’
/ei/	[pēi]	bei1	‘cup’	/ou/	[tōu]	dou1	‘all’

The off-glides of the diphthongs usually fall short of the high vowel position of [i] and [u] in actual articulation. Specifically, [i] in a diphthong can become [ɪ] or [e] in fast speech, while [u] in a diphthong can become [ʊ] or [o], depending on the speaker and the speech rate.

The smallest structure of the Mandarin syllable is composed of a single nucleus (V), and the largest consists of four segments (CGVX). Only the vowels /i, u/ (as part of the falling diphthongs) and the nasal consonants /n, ŋ/ can appear in the coda position of a Mandarin syllable.

Tone is a distinctive feature in Mandarin phonology. There are four lexical tones: T1 is realized as a high tone, T2 as a mid-rising tone, T3 as a falling-rising tone and T4 as a high-falling tone (Chao, 1968; Yip, 2002; Duanmu, 2007; Lin, 2007). Examples of the contrasting tones and their pitch contours are presented in Table 5.

**Table 5.** Examples of tonal contrast in Mandarin

Tone	Pitch contour	Chao numbers	Example	Pinyin	Gloss
Tone 1	High-level	55	妈	mā	‘mother’
Tone 2	Mid-rising	35	嘛	má	‘hemp’
Tone 3	Falling-rising	214	马	mǎ	‘horse’
Tone 4	High-falling	51	骂	mà	‘scold’

Mandarin tones systematically differ in terms of duration as well. Specifically, Tone 3 is consistently of longer duration than the other three tones in monosyllabic words (Xu, 1997). Tonal contours undergo certain variations conditioned by adjacent

tones (Chao, 1968; Howie, 1976). Tone 3 is the most complicated tone in the Mandarin tonal system, and a Tone 3 syllable simplifies to a Tone 2 syllable when it is followed by another Tone 3 syllable, a process known as Tone 3 Sandhi. In other words, the initial syllable of Mandarin bisyllabic words is Tone 3 underlyingly but becomes Tone 2 on the surface, as in 你好 /nǐ hǎu/ (T3-T3) → [ní hǎu] (T2-T3), ‘hello’. In addition, Tone 3 is observed to be consistently longer than Tone 2 such that duration is a perceptually relevant acoustic cue for tone distinction and acquisition (Blicher et al., 1990; Chang, 2011).

The main empirical question of the current study is to examine if and how the durational difference across the tones affect the adaptation of Mandarin diphthongs in Chinese Korean.

#### 4. Mandarin Established Loanwords and On-line Loanwords Adaptation

There are two ways Mandarin falling diphthongs are realized in loanwords in Chinese Korean: monophthongs and diphthongs. Examples of these two patterns are given in Table 6 and Table 7, respectively. The examples in Table 6 illustrate how Mandarin diphthongs are realized as monophthongs in Chinese Korean, through coalescence or vowel deletion (cf. Casali, 1996). The back glide diphthongs /au, ou/ are realized as /o/ and the front glide diphthongs /ai, ei/ are adapted as /ɛ/ and /e/, respectively. The examples in Table 7 show that Mandarin falling diphthongs are also frequently retained in the loans.

**Table 6.** Examples of monophthongal realizations

Adaptation	Mandarin	Pinyin	Korean	Gloss
/ai/ → /ɛ/	代购	dai4gou4	/t*ɛ.ko/	‘generation gap’
/au/ → /o/	号码	hao4ma3	/ho.ma/	‘phone number’
/ei/ → /e/	煤气	mei2qi4	/mɛ.tsi/	‘gas’
/ou/ → /o/	手机	shou3ji1	/s*o.tsi/	‘cell phone’

**Table 7.** Examples of diphthongal realizations

Adaptation	Mandarin	Pinyin	Korean	Gloss
/ai/ → /ai/	下载	xia4zai3	/sia.tsai/	‘download’
/au/ → /ao <sup>(2)</sup> /	雪糕	xue3gao1	/swe.k*ao/	‘ice cream’
/ei/ → /ei/	倒霉	dao3mei2	/t*o.mei/	‘bad luck’
/ou/ → /ou/	采购	cai3gou4	/tsʰai.k*ou/	‘purchase’



This study investigated how bilingual Chinese Korean speakers produce Mandarin loanwords in two different tasks: the production of 1) established loanwords and 2) on-line adaptation of novel loanwords. By examining adaptation patterns in the two tasks, we can see to what extent the input phonetic duration affects the adaptation of diphthongs in existing loanwords and if and how the duration effects are productively extended to novel adaptations. In particular, we explored how the phonetic duration of Mandarin input vowels affects the adaptation patterns. We hypothesized that the longer the duration of the input is, the higher the rate of diphthongal adaptation would be. In other words, if the adaptation is sensitive to the duration of the phonetic input, we expect a higher rate of diphthongal adaptation in phonological contexts where the vowels are longer. Specifically, we examined the effect of Mandarin tone with their varied duration, the position of the target syllable within the word (initial vs. final) and the interaction between the two. We predicted that the longer the tones are, the higher the rate of diphthongal adaptation would be. In terms of the word position, we expected that diphthongal adaptations are more likely to appear in final than initial position since syllables are lengthened in the final position in Mandarin (Barnes, 2006; Chen, 2006). We also examined the interaction between tone and word position. Since Tone 3 shortens in a non-final position in Mandarin (Xu, 1997; Yip, 2002; Wu & Kenstowicz, 2015), we predicted that the effect of tone on adaptation would differ by the position.

#### 4.1. Method

##### 4.1.1. Participants

Seven native speakers of Chinese Korean who reside in Dandong, China (three males, four females, age range: 26-69 years old) participated in the experiment. They were all born, raised and educated in China, speak both Korean and Mandarin, and consider Korean as their native language. No subjects reported any difficulties in speech or hearing. Participant information is summarized in Table 8. The participants provided self-assessments of proficiency in Korean and Mandarin, and

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2) According to Lin (2007), the Mandarin /au/ can become [aʊ] or [aɔ] in which the second segment becomes lower in height, depending on the speakers and their speech rate in actual articulation. Moreover, for /au/, the pinyin system uses 'ao' instead of 'au'. As several researchers suggested (Smith, 2006; Vendelin & Peperkamp, 2006; Paradis & Lacharité, 2008), orthography might play a role in the adaptation process. Given the acoustic variability of the diphthong and the fact that the adapters are exposed to the pinyin system, an adaptation of /au/ as /ao/ is not unexpected.

they all rated themselves highly proficient (4-5) in both languages. Also, as part of a larger study, the participants also produced a list of Mandarin words, which were rated for accentedness by a native speaker of Mandarin. The two oldest speakers were rated slightly more accented in their Mandarin compared to the younger speakers.

**Table 8.** Participant information for the loanword production experiment. proficiency and accentedness ratings are on a scale from 1 (no knowledge/heavily accented) to 5 (perfectly fluent/native-like)

ID	Gender	Age	Self-assessed Korean proficiency	Self-assessed Mandarin proficiency	Mandarin accentedness (segmental)	Mandarin accentedness (tone)
P1	F	69	5	5	3.5	3.5
P2	M	69	5	4	3.5	3.5
P3	M	43	5	4	4	4
P4	F	41	4	4	4	4.5
P5	M	31	4	4	4	4.5
P6	F	30	4	4	4	4
P7	F	26	4	4	4.5	4.5

### 4.1.2. Stimuli

The stimuli for established loanword production consisted of 128 Mandarin words which are commonly used as loan forms in Chinese Korean. The words were chosen based on the loanword list of Ito & Kenstowicz's (2009a, 2009b) and in consultation with a native speaker of Chinese Korean. The 128 words included 47 instances of target diphthongs /ai, au, ei, ou/ occurring with one of the four tones (drawn from 41 words, since some words have more than one diphthong)<sup>3)</sup>. The distribution of diphthongs by tone and position is summarized in Table 9. Note that it was not possible to balance the distribution of diphthongs across conditions as the selection is limited by available loanwords. For the on-line adaptation task, the stimuli consisted of 91 disyllabic Mandarin words, which are not commonly used as loan forms in Chinese Korean. They are balanced in terms of the number of different diphthong types (/ai, ei, ai, ou/), the tone (Tone 1 ~ Tone 4), and the syllable

3) One additional diphthong occurs with a neutral tone, which is not included in the analysis.

position (initial vs. final syllable) of the target diphthong, as summarized in Table 9. The lists of stimuli that were analyzed in the current study are provided in the Appendices A (established loans) and B (on-line adaptation). The Mandarin words and instructions were recorded by a male native speaker of Chinese Korean in his early 20s who also has a native fluency in Mandarin as spoken in Northern China.

**Table 9.** Distribution of target diphthongs by tone and position: (a) established loans; (b) on-line adaptation. Tone 2 that derives from Tone 3 Sandhi is categorized as Tone 2 and marked in parenthesis.

Diphthong	(a) Established loans								(b) On-line adaptation							
	Initial				Final				Initial				Final			
	T1	T2	T3	T4	T1	T2	T3	T4	T1	T2	T3	T4	T1	T2	T3	T4
/ai/	1	2	2	5	0	0	1	2	9	3	3	6	3	4	4	5
/au/	3	3 (2)	4	5	3	0	2	3	5	4	4	4	4	2	3	4
/ei/	0	1	0	0	1	1	0	1	4	4 (2)	1	6	2	1	2	4
/ou/	0	1	1	0	0	1	0	4	4	3	3	4	3	4	4	5

The recorded stimuli showed durational differences by the tone in the expected way: Tone 3> Tone 1 & 2> Tone 4. Tone 3 has the longest duration (323ms), Tone 4 has the shortest duration (234ms), and Tone 1 and 2 have intermediate durations (300ms and 294ms, respectively) with the difference between the two not statistically different.

### 4.1.3. Procedure

The loanword recording sessions took place in a quiet hotel room in Dandong, and each session lasted approximately 30 minutes. Both written and oral instructions were provided to ensure that participants fully understood the task. The Mandarin stimuli were presented to the participants aurally along with the Chinese characters on a screen via Microsoft PowerPoint, and the speakers produced appropriate Korean forms embedded in contextually appropriate Korean carrier sentences twice. For example, a participant would hear the Mandarin target word [çiàtsài] (‘download’), they would see the target word in Mandarin orthography ‘下载’ and a carrier sentence in Korean orthography ‘tten-no-e-seo \_\_hae-la’ (‘Do \_\_ on the computer.’), as shown in Figure 1. They would then be asked to repeat the target word in its Korean form, embedded in the carrier sentence, twice. During the

experiment, the subjects could listen to the Mandarin stimuli as many times as they wanted before producing their response. They were asked to produce the sentences aloud at a normal speaking rate, and their speech was recorded with a Zoom H4n recorder and an AT831B microphone. The speech was recorded at a sampling rate of 44kHz.

Sometimes speakers produced two different variants for the same word; in these cases, the variants were counted as two separate tokens. A total of 337 tokens (47 diphthongs x 7 speakers + 7 variants) were analyzed for the established loans, and a total of 861 tokens (121 diphthongs x 7 speakers + 14 variants) were analyzed for the on-line adaptation task.

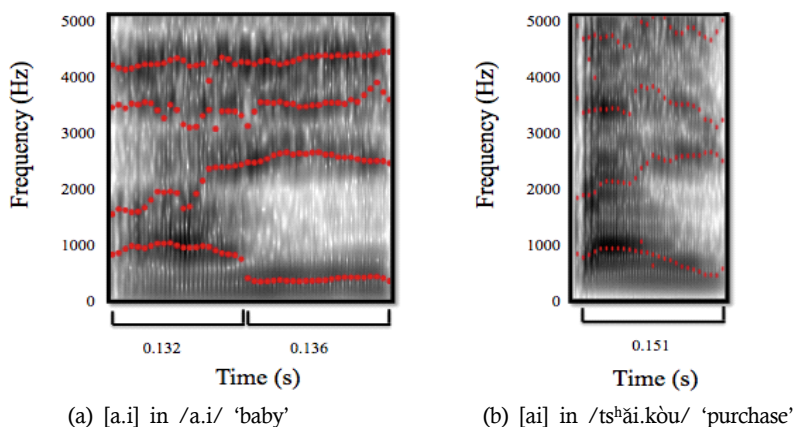


**Figure 1.** A sample screen display of Mandarin target word and the Korean carrier sentence.

#### 4.1.4. Transcription

The recordings were manually transcribed by the first author, a native speaker of Korean with knowledge of Mandarin. The transcriptions were later verified by a male native Korean speaker who has knowledge of Mandarin for a reliability check. There was approximately 90 percent inter-transcriber agreement as to whether the target diphthong was realized as a monophthong or a diphthong in the Korean form, the main question of interest in our study. For the cases of disagreement, the first author rechecked the data and made a decision based on the visual inspection of the formant movement.

Another question that arises in transcription is whether a non-monophthongal realization is, in fact, a true diphthong or a heterosyllabic vowel sequence. The intuition of native speakers of Chinese Korean is fairly clear, and these sequences are true diphthongs in Chinese Korean (Personal communication: Professor Oh, Sung-Ae) and contrast with bisyllabic vowel sequences.



**Figure 2.** (a) A spectrogram of heterosyllabic [a.i] in a native Korean word /a.i/ 'baby' and (b) a spectrogram of diphthongal [ai] in a loanword from Mandarin /tsʰäi.kòu/ 采购 'purchase' by P7.

While anecdotal, the speech samples produced by the participant P7 illustrate the acoustic difference between diphthongal and heterosyllabic vowel sequences in Chinese Korean. As seen in Figure 2, the diphthong [ai] in a loan form of Mandarin /tsʰäi.kòu/ 'purchase' is much shorter than the total duration of the native Korean vowel sequence /a.i/: 151ms for the Mandarin loanword and 268ms for the native Korean word.

#### 4.1.5. Statistical analysis

For the statistical analysis, we used a logistic mixed-effects model with the *glmer()* function of the *lme4* package (Bates et al., 2015) in the R Statistical Environment (R Core Team, 2019). For post-hoc comparisons, Wald chi-square tests with the *testInteractions()* function of the *phia* package was used. The dependent variable was the binary choice of diphthongal (=1) vs. monophthongal (=0) realization of target Mandarin diphthongs. The fixed effects predictors included Tone (Tone 1 or 2, Tone 3, and Tone 4), Position (Initial vs. final syllable), Task (Established loans vs. On-line adaptation), and their interactions. Tone is coded as a three-level predictor with Tone 1 and Tone 2 combined into one level because these two tones do not differ significantly in duration. An exploratory analysis found that the adaptation patterns also differ by the diphthong type, and as such, we included Diphthong Type (front

/ai, ei/ vs. back /au, ou/) as a control predictor. Sum contrast coding was used for all predictors. As for interaction terms, the interaction of Tone \* Position was included to test if the variation in tone duration by position is mirrored in adaptation. Recall that Tone 3 syllables are longer than other syllables in the final position, but the Tone 3 shortens in the non-final position (Xu, 1997; Yip, 2002; Wu & Kenstowicz, 2015), neutralizing the tonal effect on duration. Therefore, we expect that the tone effect will be different depending on whether the syllable is in the final or non-final position. The two-way interactions of Task \* Tone and Task \* Position were included to test if the effects of tone and position attested in established loans are productively extended to on-line adaptation. The random effects included a random intercept for items and full random slopes for subjects.

## 4.2. Results

### 4.2.1. Overview

The distribution of the adaptation patterns across the two datasets (established loans and on-line adaptations) shows that the monophthongal adaptation is the majority pattern in both datasets. Specifically, the Mandarin diphthongs are monophthongized 79% and 75% of the time in the established loanwords and on-line adaptations, respectively; the remaining 21% and 25% retain the source language diphthongs.

Recall from section 3.2 that the status of falling diphthongs in Korean phonology is marginal at best. Three of the diphthongs under consideration, /au, ei, ou/, are not attested in native Korean words at all, and /ai/ is marginally available as an archaic variant pronunciation of a front vowel in Chinese Korean (e.g., /kai/ ~ /kɛ/ ‘dog’). The fact that these diphthongs are monophthongized frequently in adaptation is also suggestive of the illicit status of these diphthongs in native phonology. Diphthongal realizations may be considered a case of importation, not unexpected in this bilingual community with a high degree of individual- and community-level bilingualism (Haugen, 1950; Poplack et al., 1988; Paradis & LaCharité, 2008).

With this overview as a background, we now turn to the effects of phonetic duration on adaptation and examine the effects of Mandarin tone, word position, and their interaction in adaptation. Table 10 summarizes the output of the logistic regression model.

**Table 10.** Summary of the output of the logistic mixed-effects models

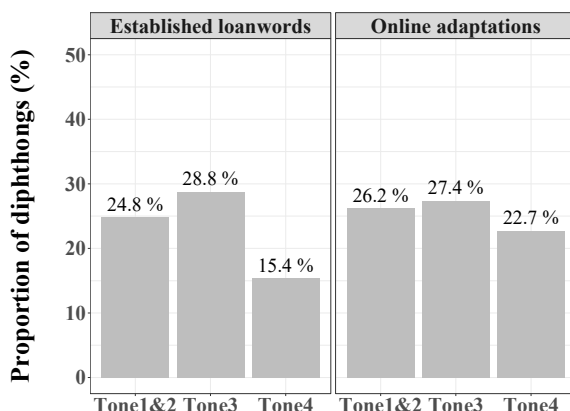
	Estimate	Std. Error	z-value	p-value
(Intercept)	-2.639	0.837	-3.151	0.002**
Task (online vs. established)	-1.358	0.92	-1.476	0.140
Position (final vs. initial)	1.361	0.61	2.23	0.026*
Tone (tone 4 vs. tone 3)	-2.23	0.838	-2.661	0.008**
Tone (tone 1&2 vs. tone 3)	-0.622	0.69	-0.902	0.367
Diphthong type (back vs. front)	4.715	0.675	6.99	<0.001***
Task (online) * Position (final)	-1.871	1.151	-1.626	0.104
Position (final) * Tone (tone 4)	-0.591	1.42	-0.416	0.677
Position (final) * Tone (tone 1&2)	-0.295	1.301	-0.227	0.821
Task (online) * Tone (tone 4)	0.92	1.494	0.616	0.538
Task (online) * Tone (tone 1&2)	-0.173	1.408	-0.123	0.902

Significance codes: <0.001 ‘\*\*\*’, <0.01 ‘\*\*’, <0.05 ‘\*’, <0.1 ‘.’

Note: The reference level of each predictor variable is underlined. The predictors are sum-contrast coded.

4.2.2. Tone

The proportion of diphthongal adaptation by the Mandarin tone in the two tasks is shown in Figure 3. There is a significant main effect of Tone in loanword adaptation. Pairwise comparisons show that Mandarin diphthongs with Tone 3, the longest tone, are more likely to be realized as diphthongs in Chinese Korean than those with Tone 4, the shortest tone ( $p=0.008$ ), and a post-hoc test (not shown in the table) show that Tone 1&2 also induce significantly more diphthongs than Tone 4, the shorted tone ( $p=0.0363$ ). The difference between Tone 3 and Tone 1&2 is in the expected direction (i.e., more diphthongal realizations for Tone 3 than Tone 1&2), but this did not reach statistical significance ( $p=0.367$ ). This finding supports the hypothesis that adapters are sensitive to the input phonetic duration in adaptation. In other words, Chinese Korean bilingual speakers can perceive the different phonetic duration of tones, and this acoustic information affects the adaptation of diphthongs. We found no significant interaction of Tone and Task ( $p>0.1$ ), indicating that the tonal effects hold in both established loanwords and the on-line adaptation of novel loans.

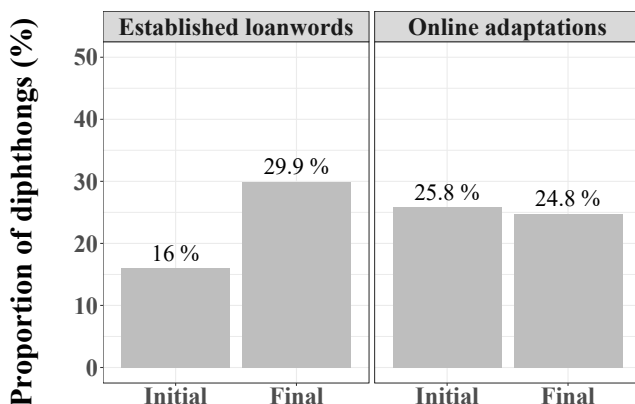


**Figure 3.** The proportion of diphthongal adaptation by the tone and experimental task.

#### 4.2.3 Word position

We now turn to the effects of word position. Word-final lengthening is a cross-linguistically common phenomenon (Barnes, 2006), and the process also applies in Mandarin. Chen (2006) found that final lengthening exists in Mandarin disyllabic words. If the adaptation of diphthongs is sensitive to the phonetic duration of the input vowel, we expect to find a positional asymmetry in adaptation. In established loans, diphthongal adaptation is more likely when the vowel occurs in a word-final syllable compared to a non-final syllable, as shown in Figure 4. This difference can be attributed to the longer duration of vowels in the final than non-final syllables in Mandarin. The position effect, however, does not hold in the on-line adaptation. Statistical tests confirm this observation. There is a main effect of Position in adaptation ( $p=0.0267$ ), and the interaction of Position and Task is barely marginally significant ( $p=0.104$ ). Post-hoc tests show that the Position effects hold for established loans ( $p=0.0214$ ) but not for online adaptation ( $p=0.507$ ).





**Figure 4.** The proportion of diphthongal adaptation by word position and experimental task.

This discrepancy between the two tasks may stem from the difference in the stimuli characteristics used in the experiments. There was a trend of durational differences in the expected direction for the established loans, with vowels in the final syllable showing an overall longer duration than in the initial syllable, while on-line stimuli did not show that difference. However, when the stimuli duration was added as a control predictor to the adaptation model, the interaction of Position \* Task still remained significant, suggesting that there may be a difference between the on-line adaptation and the established loans that is not explained by the stimuli duration alone<sup>4</sup>).

While we remain cautious in interpreting these findings, such a discrepancy between the established loans and on-line adaptation, if more robustly evidenced, would suggest that established loanwords and on-line adaptation reflect different aspects of speakers' knowledge regarding loanwords. Established loanwords are reflections of speakers' lexical knowledge, which is, in turn, the result of the accumulation of collective history of adaptation and subsequent revisions at the community level, and therefore may contain idiosyncrasies and historical remnants of archaic patterns that no longer hold productive. On-line adaptation, on the other hand, reveals speakers' productive knowledge regarding the cross-language correspondence in action. This productive knowledge may itself draw from the generalizations of the

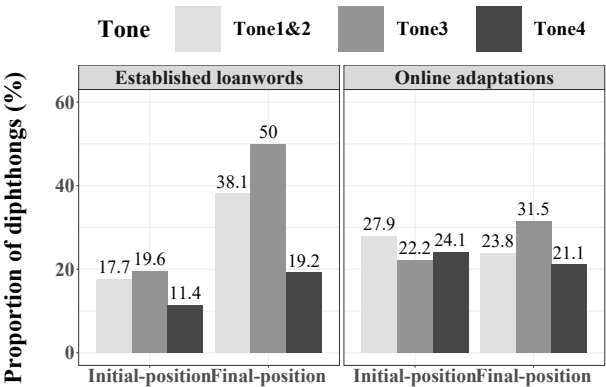
4) The full model with duration added as additional predictor did not converge. The model converged when non-significant interactions (Tone \* Position and Tone \* Task) and all random slopes were removed from the model.

existing lexicon, without necessarily being isomorphic to them. Bilingual adapters may internalize the cross-language correspondence pattern, abstracting away from the context-dependent phonetic variation of the input.

Recall from section 4.2.2, however, that the effect of tone duration in established loanwords is mirrored in the on-line adaptation pattern as well. In other words, on-line adaptation selectively projects the effect of phonetic duration conditioned by lexical tones but not by word position. This suggests that the productive generalization speakers draw from the loanword lexicon may be based on tones, a phonological category of Mandarin, rather than directly on the duration. That is, the origin of the tone effect may have been the phonetic durational difference, but the generalization speakers acquire one about tones, not about phonetic duration. However, again, this finding needs further verification through further experimentation with stimuli that are controlled for duration across tasks.

#### 4.2.4. Interaction of tone and word position

We now examine how the effect of tone on adaptation interacts with the word position. As mentioned, Tone 3 is substantially shortened to a level comparable to other tones in a non-final position in Mandarin. Thus, if adaptation is sensitive to this tone-by-position interaction in phonetic duration, we predict the same interaction to hold in the diphthongal adaptation rate. Figure 5 shows the breakdown of diphthongal importation rates, separate for tones, positions, and tasks.



**Figure 5.** The proportion of diphthongal adaptation by tone, word position, and experimental task.

Figure 5 presents that the contrast between Tone 3 and other tones, in particular, Tone 4, is larger in the final position than in the initial position. However, statistically, there is no significant interaction of Position and Tone, indicating that tone effects in loanword adaptation do not differ significantly by the word position ( $p>0.1$ ). The three-way interaction of Tone \* Position \* Task (in a preliminary model) was also not significant, indicating that there is no evidence that tonal effects on adaptation are any different depending on the position or the task. This finding is compatible with an interpretation that the tone effects are consistent across all conditions. In contrast to the position effect, which may be under-projected to on-line adaptation, the tonal effect seems to persist in on-line adaptation as well as in contexts where the phonetic ground for the tonal effect is reduced, i.e., non-final position. However, the lack of significant interactions may be an issue of statistical power, rather than a lack of genuine effect, and the results need to be interpreted with caution.

One of the unexpected findings of our study is an asymmetrical adaptation of Mandarin diphthongs by vowel quality. The examples in Table 11 illustrate the variation in the adaptation of front unrounded diphthongs /ai/ and /ei/. In many cases, /ei/ and /ai/ tend to be matched with the equivalent diphthongs /ei/ and /ai/ in Chinese Korean. In monophthongal adaptation, /e/ and /ɛ/ are the most frequently realized vowels, which retain the [+front] and [-round] features of the /i/ glide and the vowel height of the original nucleus.

**Table 11.** Examples of adaptation of front diphthongs /ai, ei/

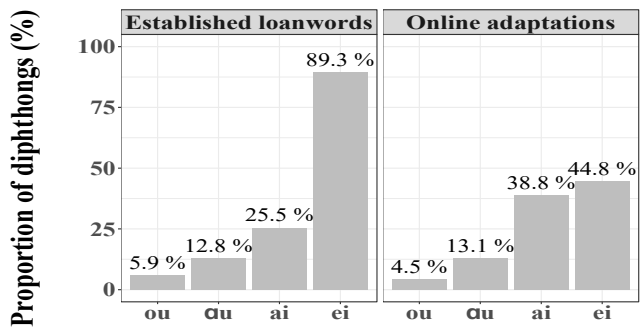
MA	Pinyin	CK	Adaptation	Gloss
酸菜	suan1cai4	/s*wan.tshai/	/ai/ → /ai/	‘pickles’
		/s*wan.tshɛ/	/ai/ → /ɛ/	
		/nai.k <sup>hi</sup> /	/ai/ → /ai/	
耐克	nai4ke4	/nɛ.k <sup>h</sup> ʌ/	/ai/ → /ɛ/	‘Nike’
		/nei.k <sup>h</sup> ʌ/	/ai/ → /ei/	
倒霉	dao3mei2	/t*o.mei/	/ei/ → /ei/	‘bad luck’
		/t*o.me/	/ei/ → /e/	

Table 12 shows examples of back diphthongs /au/ and /ou/. In our data, Mandarin /au, ou/ are most often adapted as /o/, preserving the features [+back] and [+round] of the /u/ glide and [-high] feature of the nucleus vowel. In addition, when Mandarin /au/ is preserved as a diphthongal vowel in Chinese Korean, it is often realized as /ao/ rather than /au/.

**Table 12.** Examples of adaptation of back diphthongs /au, ou/

MA	Pinyin	CK	Adaptation	Gloss
高清	gao1qing1	/k*ao.ts <sup>h</sup> iŋ/	/au/ → /ao/	'HD'
		/k*o.ts <sup>h</sup> iŋ/	/au/ → /o/	
包子	bao1zi0	/p*o.c*i/	/au/ → /o/	'dumpling'
		/p*o:.c*i/	/au/ → /o:/	
手机	shou3ji1	/s*o.tsi/	/ou/ → /o/	'cellphone'
教授	jiao4shou4	/ts*o.su/	/ou/ → /u/	'professor'
		/ts*o.so/	/ou/ → /o/	

Figure 6 shows that there is an asymmetry in adaptation patterns across different diphthongs, especially between the front and back diphthongs. Mandarin /ei/ is adapted as a diphthong in about 90% of the cases in the established loanword, followed by /ai/, /au/ and /ou/. On the basis of the results, the hierarchy of diphthongal adaptation in both tasks is as follows: /ei/ > /ai/ > /au/ > /ou/. The rate of diphthong/monophthong choice evidently differs by diphthong type. In particular, there is a large difference between /ou/ and /ei/. This interesting phenomenon will be discussed in more detail in Section 5.



**Figure 6.** The proportion of diphthongal adaptation by diphthong type.

To sum up, the overall patterns indicate that loanword adaptation is sensitive to the phonetic duration of the input vowel, in particular, the durational difference conditioned by the tone (Tone 3 > Tone 4) and, in the case of established loans, the word position (final > initial) as well. We found a consistent effect of tones across word positions and tasks. We also found that the adaptation is conditioned by the segmental composition of the diphthongs (front unrounded diphthong /ei, ai/ > back rounded diphthongs /au, ao/).

5. Discussion

In this section, we discuss residual issues and implications that arise from the present analysis.

5.1. The emergence of asymmetrical adaptation among diphthong types

We found a large difference in the rate of monophthongization between the front and back diphthongs. This is an unexpected finding but a very robust pattern in our data. A phonetic duration-based explanation seems untenable. The duration of our Mandarin stimuli shows no systematic difference between front vs. back diphthongs that would support a duration-based account. In fact, /ei/, which are adapted as diphthong most frequently has the shortest average duration (266ms) of all diphthongs, followed by /ou/ (268 ms), /ai/ (287ms), and /au/ (291ms).

We consider a few explanations for this diphthong type effect. First of all, the asymmetry between front and back diphthong adaptation is due to the asymmetry in native Korean phonology. Korean has a front-falling diphthong /ij/, which is a remnant of front-falling diphthongs from Late Middle Korean (H. Kang, 1997; Ahn & Iverson, 2007). As mentioned above, an archaic pronunciation of some falling diphthongs (/ai/ and /ʌi/ specifically) is attested in Chinese Korean (Jin, 2008). In contrast, neither diachronically nor synchronically has Korean had back falling diphthongs.

Another possibility is that the differential treatment of front- and back-final diphthongs is observed in English loanwords in Korean. In Standard Korean, English falling sonority diphthongs are adapted as di-syllabic vowel sequences, similar to Mandarin diphthongs. The only exception is /oʊ/, which is always adapted as a monophthong /o/. The examples in Table 13 illustrate this contrast.

Table 13. Examples of English vowel adaptation into Standard Korean

/aɪ/	Gloss	/eɪ/	Gloss	/aʊ/	Gloss	/oʊ/	Gloss
/p <sup>h</sup> a.i.p <sup>h</sup> i/	‘pipe’	/te.i.t <sup>h</sup> i/	‘date’	/si.k <sup>h</sup> a.u.t <sup>h</sup> i/	‘scout’	/hom/	‘home’
/ka.i.ti/	‘guide’	/ke.i.t <sup>h</sup> i/	‘gate’	/a.us/	‘out’	/k <sup>h</sup> o.ti/	‘code’
/sil.la.i.ti/	‘slide’	/p <sup>h</sup> e.i.tsi/	‘page’	/a.ul.les/	‘outlet’	/p <sup>h</sup> o.k <sup>h</sup> i/	‘folk’

This pattern is similar to that of Mandarin diphthongs in Chinese Korean, in which the Mandarin diphthong /ou/ has the highest rate of monophthongization. In contrast, the front unrounded vowels /aɪ/ and /eɪ/ as well as the back unrounded vowel /aʊ/ are consistently realized as two vowel sequences in Standard Korean.

The high rate of diphthongal adaptation of Mandarin front unrounded diphthong [ei] also has a parallel in English loans. Interestingly, there is evidence that the English diphthong /eɪ/ is adapted as a true diphthong in North Kyungsang Korean, which is a pitch accent system, as shown in the examples in Table 14. These diphthongs, unlike disyllabic V.V sequences, act like a long vowel and attract a double high accent. This adaptation pattern is not reported for other English diphthongs.

**Table 14.** English diphthong /eɪ/ adaptation in North Kyungsang Korean (Adapted from Kenstowicz & Sohn 2001)

	Gloss		Gloss		Gloss		Gloss
/p <sup>h</sup> éɪ.pí/	‘baby’	/t <sup>h</sup> éɪ.púl/	‘table’	/k <sup>h</sup> éɪ.púl/	‘cable’	/p <sup>h</sup> éɪ.p <sup>h</sup> ʌ/	‘paper’

In fact, such asymmetry between the front and back diphthongs may have a cross-linguistic phonetic ground. A similar asymmetry is reported for Japanese where Katayama (1998) noted that loanwords from English tend to retain the diphthong [ai] while turning [au] into [a] in Japanese. There is a long-standing observation in the literature (going at least back to Martinet 1952) that back vowels in the vowel space are more contracted and crowded compared to front vowels acoustically.

In addition, an anonymous reviewer brought our attention to Wang (2019)’s study which investigated formant patterns of the four falling diphthongs in Mandarin produced by 102 Beijing native speakers and found that internal representations of falling diphthongs were not completely homogeneous and there were variations on a different degree. In particular, the Mandarin back diphthongs /au/ and /ou/are often monophthongized in younger generations’ casual speech. Thus, if Chinese Korean bilinguals have been exposed to monophthongized pronunciations of the back diphthongs in Mandarin, they might be perceived as less distinctive, which makes their diphthongal quality less perceptible than their front counterparts, which in turn affects the adaptation in loans.

5.2. Influence of Sino-Korean words

Another issue is the influence of cognate Sino-Korean words in Mandarin diphthong adaptation. Korean vocabulary consists of a large number of words of Chinese origin, making up about 60% of the Korean lexicon (Sohn, 1999). Sino-Korean words can be expressed in Chinese characters, but the pronunciation of the Chinese characters is different between Mandarin and Korean. For example, the word for ‘tofu’ is 豆腐 in Mandarin Chinese, which is pronounced /tòu.fu/. The Korean word for ‘tofu’ is Sino-Korean, and Korean uses the same Chinese characters, but it reads as 두부 /tupu/.

Given the availability of Sino-Korean cognates, a question arises as to whether some of the pronunciations of Mandarin loans in Chinese Korean may be based on Sino-Korean pronunciations of the cognate words, rather than a result of sound-based borrowing from contemporary Mandarin. It would be reasonable to suggest that Sino-Korean in the borrowing language may play a role in monophthongal adaptation since the monophthongal output in our data is similar to the Sino-Korean pronunciation of corresponding Chinese characters as shown in Table 15.

**Table 15.** Comparison of Chinese-Korean loans and Sino-Korean (SK) cognates

Vowel	MA	Pinyin	CK	Adaptation	Sino Korean cognate
/ai/	酸菜	suan1cai4	/s*uan.tsɛ/ /s*uan.tsai/	/ai/ → /ɛ/	/san.tsʰɛ/
/au/	号码	hao4ma3	/homa/	/au/ → /o/	/ho.ma/
/ou/	教授	jiao4shou4	/tso.so/ /tso.su/	/ou/ → /o, u/	/kjo.su/

While one cannot rule out the possible influence of Sino-Korean cognates, there are reasons to believe that the monophthongal realization cannot be attributed solely to the Sino-Korean cognates. The evidence comes from “hybrid” forms where in words that contain two diphthongs, one diphthong is realized as a diphthong and the other as a monophthong, as in dao3mei2 倒霉 ‘bad luck’ > CK /t\*o.mei/. The Sino-Korean transliteration of the same Chinese characters would be /to.mɛ/. This example also illustrates that while the diphthong may monophthongize to a vowel corresponding to the Sino-Korean cognate, the consonants do not follow the Sino-Korean pronunciation, supporting the claim that the monophthongization is a productive adaptation in and of itself rather than a repurposing of Sino-Korean

pronunciation of the Chinese characters. Thus, one cannot simply assume that monophthongal adaptation is solely due to Sino-Korean cognates. It would, however, be of interest to investigate the influence of Sino-Korean cognates and their relationship with the direct Mandarin borrowings in the future.

### 5.3. Sociolinguistic contexts of adaptation

Recall from section 1 that in Standard Korean, Mandarin falling diphthongs are adapted as hetero-syllabic vowel sequences, as in MA mao2 ze2 dong1 [máu tsó tōŋ], ‘Mao Zedong’ > SK /ma.o.ts\*Λ.tuŋ/. This is in contrast to the patterns found in Chinese Korean, where quite often, the illicit diphthongs are not repaired at all, or they are repaired by monophthongization. We propose that these differences between the two varieties of Korean are attributed to the different levels of contact and bilingualism.

Previous studies have found that the importation of novel structure is more common in the context of high bilingualism than low bilingualism (Haugen, 1950; Paradis & LaCharité, 2008). Thus, the frequent retention of foreign diphthongs in Chinese Korean is an expected pattern but not in South Korean, where the level of bilingualism is low. The differential repair strategies in the two dialects can also be related to the different levels of bilingualism. Based on a cross-linguistic survey of prosodic adaptation, Kang (2010b) suggests that faithfulness to the input prosodic structure will be more significant in the context of high than low bilingualism. In Chinese Korean, the Mandarin falling diphthongs are adapted as a monosyllabic monophthong or diphthong, preserving the syllable count of the input structure. This is in contrast to the dominant adaptation pattern in the Standard Korean of South Korea, where a falling diphthong is adapted as two separate syllabic nuclei, altering the syllable count of the input structure. To formulate these dialectal differences in Optimality Theoretic terms, we introduce the following constraints in Table 16.



**Table 16.** Optimality theory constraints for diphthong adaptation

Constraint	Explanation
*FallDiph:	Avoid falling sonority diphthongs. (e.g. */ai/, */ei/, */ou/, */au/)
*Coalescence:	Two segments (V1 + V2) of a diphthong cannot be merged into a vowel sharing features of both V1 and V2. (Casali 1996) (e.g. /ai/ → */ɛ/ , /au/ → */o/)
Dep-Syl:	Do not add a new syllable.

\*FallDiph is a native phonological constraint against falling diphthongs. \*Coalescence is a faithfulness constraint that penalizes the coalescence of segments. Dep-Syl is a prosodic faithfulness constraint that prohibits the addition of a new syllable. The ranking of constraints differs by the level of bilingualism in loanword adaptation. The three different realizations of Mandarin diphthongs and their corresponding constraint rankings are summarized in Table 17.

**Table 17.** Constraint ranking and differential adaptation strategies in dialects of Korean

Adapters	Realization	Ranking
Chinese Korean bilinguals in China	importation	DEP-SYL, *COALESCENCE >> *FALLDIPH
	(diphthong retention)	
	monophthongization	DEP-SYL, *FALLDIPH >> *COALESCENCE
Monolingual speakers in South Korea	disyllabic split	*FALLDIPH , *COALESCENCE >> DEP-SYL

In the Chinese Korean community, where the degree of bilingualism is high, the diphthong importation retains the foreign structure and preserves the syllable count at the expense of violating a native phonological constraint. Thus, the ranking is Dep-Syl, \*Coalescence >> \*FallDiph, as shown in Tableau 1.

**Tableau 1.** Importation of Mandarin /suan.ts<sup>hai</sup>/ 酸菜 ‘pickles’ into Chinese Korean /s\*uan.ts<sup>hai</sup>/

/suan.ts <sup>hai</sup> /	Dep-Syl	*Coalescence	*FallDiph
→/s*uan.chai/			*
/s*uan.chɛ/		*	
/s*uan.cha.i/	*		

The optimal candidate in Tableau 1 is /s\*uan.ts<sup>h</sup>ai/, which faithfully maintains the prosodic structure of Mandarin phonology and avoids coalescence. On the other hand, the monophthongal adaptation, where the two segments of a diphthong coalesce into a monophthong, obeys the constraint against falling diphthongs in native phonology as seen in Tableau 2.

**Tableau 2.** Adaptation of Mandarin /ɕue.kau/ 雪糕 ‘ice cream’ into Chinese Korean /swe.k\*o/

/ɕue.kau/	Dep-Syl	*FallDiph	*Coalescence
→/swe.k*o/			*
/swe.k*au/		*	
/swe.k*a.u/	*		

Note that in both patterns of Chinese Korean, Dep-Syl remains highly ranked, and the prosodic structure of the input (=syllable count) is preserved. Unlike Chinese Korean, in Standard Korean, the Mandarin diphthongs are realized as separate syllabic nuclei, and this repair is possible with Dep-Syl ranked lower than other constraints, as shown in Tableau 3. In other words, this adaptation satisfies the native phonological restriction against falling diphthongs (\*FallDiph) at the expense of altering the prosodic structure of the input (Dep-Syl).

**Tableau 3.** Adaptation of Mandarin /mau.tsə.tuŋ/ 毛泽东 ‘Mao Zedong’ into Standard Korean /ma.o.ts\*ə.tuŋ/

/mau.tsə.tuŋ/	*FallDiph	*Coalescence	Dep-Syl
/mao.ts*ə.tuŋ/	*		
/mo.ts*ə.tuŋ/		*	
→/ma.o.ts*ə.tuŋ/			*

Our finding of divergent repairs and associated roles of input language phonological structure in the two varieties of Korean is also consistent with recent findings that cross-language perception is modulated by listeners’ knowledge and experience with the input language (Best & Tyler, 2007; Bundgaard-Neilson et al., 2011; Nomura & Ishikawa, 2016; Kwon, 2017). These studies find that experienced listeners of the input language (in our case, Mandarin) tend to show more sensitivity to the input language phonological structure and tend to preserve it better in their

perception than listeners with limited experience with the input language.

## 6. Conclusion

This paper examined the adaptation of Mandarin diphthongs into Chinese Korean. When Mandarin diphthongs are borrowed into Chinese Korean, two major adaptation patterns are found: monophthongal adaptation and diphthongal importation. About 80 % of the Mandarin diphthongs are realized as monophthongs in Chinese Korean, and the remaining 20 % or so of the Mandarin diphthongs are retained from the source language. This holds in both established loans and on-line adaptation.

The results of this study provide evidence for the role of phonetic information in loanword adaptation against a strictly phonological approach in loanword phonology. It was observed that Chinese Korean bilinguals use the duration of tone in the input language as a phonetic cue when adapting Mandarin words with diphthongs into Chinese Korean. Thus, diphthongs with Tone 4 are more likely than Tone 3 to be realized as monophthongs, since Tone 4 is shorter than Tone 3 in duration. This evidence supports the idea that phonetic detail in the input language plays an important role in loanword adaptation. Furthermore, it was found that word position also has an influence on loanword adaptation. Diphthongal adaptation more likely occurs when the vowel is in a final syllable than in a non-final syllable. We attribute this difference to the longer duration of vowels in final than non-final syllables in Mandarin with longer duration inducing more diphthongal adaptation. The evidence shows that Chinese Korean bilingual speakers use phonetic information such as the duration in the source language in the adaptation process. We found that the tone duration effect is projected into on-line adaptation as well. However, the evidence is less clear on the projection of position effect in on-line adaptation. Such discrepancy may potentially indicate an imperfect projection of phonetic effects to productive knowledge, but a further study where stimuli duration is properly controlled is necessary to provide more clear evidence.

The contextual variation of Tone 3 and its impact on diphthong adaptation is a particularly interesting topic for further study as anonymous reviewers point out. Comparing the phonetic realization of Tone 3 in Sandhi vs. non-Sandhi conditions, as well as underlying Tone 2, and their diphthongal adaptation can help us tease apart the role of surface phonetic duration and the speakers' knowledge of underlying

tonal categories in adaptation. Do speakers rely on the surface tonal and phonetic realization, or does the underlying tonal category (Tone 3) play a role? In our current data, there are only four Tone 3 Sandhi items (two in each of the established and on-line sets), they were coded as Tone 2 in our analysis. It is interesting to note that Tone 3 Sandhi derived Tone 2 induce more diphthong realization in on-line adaptation (8/13), an expected pattern for Tone 3, than in established loans (0/14). This asymmetry, if borne out in a more controlled study, would be consistent with the idea that in on-line adaptation, phonetic duration effects may be underprojected, and speakers' productive knowledge relies on salient (underlying) phonological categories. However, given the small number of items and lack of proper control, this observation remains anecdotal. An anonymous reviewer points out that while the full Tone 3 is the canonical realization in word-final position, Tone 3 shortening can affect word-final syllables in connected speech. Indeed, what counts as the model phonetic form of adaptation and the extent to which such variation impacts loanword adaptation are interesting and important questions that merit further study. Unexpectedly, we also found asymmetrical adaptations between the front and back diphthongs. The Mandarin back rounded diphthongs /au, ou/ are more likely to be monophthongized than the front unrounded diphthongs /ai, ei/. We considered the potential role of native phonology—availability of front diphthongs but no back diphthongs—and similar asymmetry in English loanwords as an explanation. We also considered the quasi-universal perceptual basis of this asymmetry.

Finally, we examined the cross-dialectal variation in diphthong adaption and situated the findings in the context of how sociolinguistic contexts and the level of bilingualism affects the pattern of loanword adaptation. This study of the vowel adaptation of Mandarin loanwords in Chinese Korean contributes to our understanding of the role of perception/phonetics as well as sociolinguistics in the adaptation process. For future study, we will compare and contrast the adaptation patterns of both established loanwords and on-line adaptations produced by a large number of Chinese Korean adapters and stimuli utilizing both quantitative and qualitative approaches.

## Appendix

### Appendix A. Stimuli for established loanword adaptations

No	Hanzi	Pinyin	Gloss	Sentence
1	保险	bao3xian3	insurance	차를 샀으면 ( )에 들어라.
2	号码	hao4ma3	number	( )를 알려줘라.
3	开关儿	kai1guanr1	switch	( )을 켜라.
4	煤气	mei2qi4	gas, gas fittings	( )를 켜서 밥을 해라.
5	代购	dai4gou4	generation gap	( )를 해 주는 사람이 많다.
6	老板	lao3ban3	boss, the responsible supervisor	( )이 온다.
7	老头儿	lao3tour2	old male person	( )이 오신다.
8	高清	gao1qing1	HD	( ) 펜스를 사왔다.
9	采购	cai3gou4	purchase	직장에서 ( )하고 있다.
10	游戏	you2xi4	game	아이가 ( )를 많이 한다.
11	下载	xia4zai3	download	펜노에서 ( )해라.
12	照顾	zhao4gu0	pay attention to	아이를 ( )해 줘라.
13	挂号儿	gua4haor4	apply for medical examination	병원에 가면 ( )해야 한다.
14	盗版	dao4ban3	pirate edition	( )를 사서 펜노에 넣었다.
15	手机	shou3ji1	cell phone	( )로 전화를 쳐라
16	贷款	dai4kuan3	lending; loan	은행에서 ( )한다.
17	回扣	hui2kou4	rebate	( )를 달라.
18	电脑	dian4nao3	computer	( )를 써서 일한다.
19	菜单	cai4dan1	menu	( )을 가져와라.
20	白干儿	bai2ganr1	spirits; liquor	( )을 많이 마시지 말아라.
21	分配	fen1pei4	divide	똑같이 ( )해라.
22	成宝	cheng2bao3	Chengbao department store	( ) 초시에 가서 물건 사라.
23	面包	mian4bao1	bread	( )가 맛있다.
24	馒头	man2tou0	Chinese-style steamed bread	( )를 먹어라.
25	耐克	nai4ke4	Nike	( ) 신발을 사라.
26	倒霉	dao3mei2	bad luck	오늘은 정말 ( )다.
27	淘汰	tao2tai4	throw away old things	롱구에서 ( )됐다.
28	包子	bao1zi0	dumpling	( )가 맛있다.
29	白酒	bai2jiu3	spirits; liquor	( )를 마시면 취한다.
30	唠嗑儿	lao4ker1	chat	동무들과 ( )했다.
31	高级	gao1ji2	high-quality	( ) 빈관에서 잤다.
32	报销	bao4xiao1	cost sharing	단위에서 ( )해 준다.
33	雪糕	xue3gao1	ice cream	( )를 사먹어라.

# Appendix A. Continued

No	Hanzi	Pinyin	Gloss	Sentence
33	雪糕	xue3gao1	ice cream	( )를 사 먹어라.
34	跑调儿	pao3diaor4	out of tune	노래를 못해 ( )한다.
35	教授	jiao4shou4	professor	( )에게 배워라.
36	酸菜	suan1cai4	pickles	( )를 먹어라.
37	国贸	guo2mao4	International trade building	( )에 가서 물건을 사라.
38	跑车	pao3che1	sport car	( )가 빠르다.
39	彩票	cai3piao4	lottery ticket	( )를 사라.
40	护照	hu4zhao4	passport	( )를 보여줘라.
41	菜刀	cai4dao1	knife	( )로 배추를 썰다.

# Appendix B. Stimuli for on-line adaptations

No	Hanzi	Pinyin	Gloss	Sentences
1	改成	gai3cheng2	change	칠을 팔로( ) 한다.
2	北非	bei3fei1	North Africa	( )에 가 봤다.
3	清炒	qing1chao3	fried	배채를( ) 한다.
4	悲愁	bei1chou2	sad	슬픈 드라마 보고( ) 한다.
5	颤抖	chan4dou3	trembling	추워서( )한다.
6	修改	xiu1gai3	modify	틀려서( )한다.
7	炒汇	chao3hui4	speculation	은행에서( )한다.
8	解剖	jie3pou1	anatomy	동물을( )한다.
9	支配	zhi1pei4	dominate	자금을 잘 ( ) 해라.
10	妯娌	zhou2li0	sister-in-law	그 사람이 내( )다.
11	扣分	kou4fen1	deduction points	시험에서( ) 당했다.
12	开办	kai1ban4	start	유치원을( )한다.
13	桃酥	tao2su1	peach cake	( )를 먹고 싶다.
14	改道	gai3dao4	diverted	길이 안 좋으니( ) 해라.
15	靠近	kao4jin4	near	위험해서 ( )하지 말아라.
16	板材	ban3cai2	plate	건물을( )로 만들었다.
17	开采	kai1cai3	mining	산을( )한다.
18	战斗	zhan4dou4	fighting	( )에서 죽었다.
19	陪伴	pei2ban4	accompany	아이가( ) 필요하다.
20	入侵	ru4kou4	invade	( )들이 들어왔다.
21	口袋	kou3dai4	pocket	돈을( )에 넣어라.
22	带累	dai4lei3	involve	( )해서 미안하다.
23	弹头	dan4tou2	warhead	( )를 맞아서 죽었다.

## Appendix B. Continued

No	Hanzi	Pinyin	Gloss	Sentences
24	被绑	bei4bang3	tied	사장이( ) 됐다.
25	走路	zou3lu4	walk	멀지 않으니( )해서 가라.
26	地带	di4dai4	zone	여기는 위험한 ( )다.
27	皮带	pi2dai4	belt	바지가 크면 ( ) 써라.
28	告病	gao4bing4	asking for sick leave	단위에 ( ) 했다.
29	栽赃	zai1zang1	frame	사장에게( )했다.
30	开炮	kai1pao4	fire	시합이( ) 했다.
31	白痴	bai2chi1	moron	저 사람은 ( )다.
32	该着	gai1zhao2	deserve	( ) 선생님 칭켜한다.
33	安培	an1pei2	ampere	전류의 세기는( )를 쓴다.
34	头版	tou2ban3	Front page	신문( )에 올랐다.
35	周报	zhou1bao4	weekly	( )를 봤다.
36	被告	bei4gao4	defendant	이 사건에는 저 사람이( )다.
37	构成	gou4cheng2	constitute	이 집은 나무로( )됐다.
38	胚胎	pei1tai1	embryo	수업에서( )를 관찰했다.
39	渗透	shen4tou4	penetration	물이 방에( ) 됐다.
40	该当	gai1dang1	deserved	죄를 지어( ) 감옥에 간다.
41	菜豆	cai4dou4	kidney bean	( )을 먹었다.
42	理该	li3gai1	rationaly	이 일은 네가( ) 책임을 져라.
43	头彩	tou2cai3	Head color	채표를 사서( )로 당첨됐다.
44	靠近	kao4jin1	near	위험하니( )하지 말아라.
45	不周	bu4zhou1	not satisfactory	아이를 보살펴 줬는데( )했다.
46	超标	chao1biao1	excessive	예산이( )했다.
47	斑白	ban1bai2	gray	머리카락이( ) 하다.
48	才具	cai2ju4	talented	( )가 있다.
49	开刀	kai1dao1	surgery	아파서( )해야 한다.
50	案头	an4tou2	desk	책을( )에 놓아라.
51	配备	pei4bei4	provide, equipment	기차 안에 펜쓰를( )했다.
52	书包	shu1bao1	school bag	( )를 들고 학교에 가라.
53	安排	an1pai2	arrangement	빈관을( )해 줘라.
54	更改	geng1gai3	change	약속 시간을 ( )하지 말아라.
55	贼心	zei2xin1	thieves heart	( )이 있으면 안 된다.
56	带电	dai4dian4	charged	펜쓰가( )돼서 위험하다.
57	外逃	wai4tao2	fled	도둑이( )했다.
58	薄层	bao2ceng2	Thin layer	( )은 매우 얇다.

## Appendix B. Continued

No	Hanzi	Pinyin	Gloss	Sentences
59	开口	kai1kou3	open	신발이( )됐다.
60	白菜	bai2cai4	Chinese cabbage	( )로 김치를 만들었다.
61	配菜	pei4cai4	side dishes	주방에서( )한다.
62	超拔	chao1ba2	overtake	우리 선생님은 재능이( )하다.
63	构架	gou4jia4	architecture	이 건물은( )가 좋다.
64	眼泡	yan3pao1	eyebrows	잠을 못 자서( )가 부었다.
65	剖析	pou1xi1	analysis	어려운 말을( )해 줘라.
66	剖白	pou1bai2	explain oneself	솔직하게( )해라.
67	保藏	bao3cang2	preservation	과일을 병상에( )해라.
68	炒家	chao3jia1	speculator	때판을 못 갚아서( ) 당했다.
69	悲惨	bei1can3	tragic	운명이( ) 하다.
70	保费	bao3fei4	premium	보호해 줬으니( )를 내라.
71	报恩	bao4en1	thanksgiving	고마우면( )해라.
72	难保	nan2bao3	hard to protect	이 일이 잘 될지( )하다.
73	煎炒	jian1chao3	fried	이 채소를( ) 해서 먹어라.
74	卷轴	juan3zhou2	reel	( )를 열어라.
75	非得	fei1dei3	have to	이 일을 ( )해라.
76	菜畦	cai4qi1	vegetable bed	( )에서 채소를 기른다.
77	北斗	bei3dou3	big dipper	밤새( )를 봤다.
78	薄片	bao2pian4	sheet	이 채소를( )으로 잘라라.
79	得亏	dei3kui1	suffer	( )사장이 도와줘서 성공했다.
80	满口	man3kou3	mouthful	이 사람은( )욕이다.
81	被单	bei4dan1	bed sheet	( )을 깨끗하게 빨아라.
82	下周	xia4zhou1	next week	( )에 놀러 가자.
83	扣除	kou4chu2	deduction	월급에서 벌금을( )해라.
84	包孕	bao1yun4	include	편지에 감정을( )했다.
85	口岸	kou3an4	port	( )에 가서 배를 타라.
86	炮制	pao2zhi4	concocted	이거와 같이( )해라.
87	周长	zhou1chang2	perimeter	머리의( )이 얼마나?
88	配备	pei4bei4	equipped, equipment	기차에 펜스를( ) 했다.
89	麦胚	mai4pei1	wheat germ	( )를 사 와라.
90	开拍	kai1pai1	shooting	새 드라마가( )했다.
91	采购	cai3gou4	buy	직장에서 ( )하고 있다.



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